

TRANSMITTAL FORM

Application Serial Number	10/618,091
Filing Date	July 11, 2003
First Named Inventor	Klein
Group Art Unit	3652
Examiner Name	Not yet assigned
Attorney Docket No.	NEX-007
Patent No.	Not applicable
Issue Date	Not applicable

ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Check Attached <input type="checkbox"/> Copy of Fee Transmittal Form	<input checked="" type="checkbox"/> Copy of Notice to File Missing Parts of Application (PTO-1553) <input type="checkbox"/> Formal Drawing(s)	<input type="checkbox"/> Request for Certificate of Correction <input type="checkbox"/> Certificate of Correction (in duplicate)
<input type="checkbox"/> Amendment/Response <input type="checkbox"/> Preliminary <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Letter to Official Draftsperson including Drawings [Total Sheets _____]	<input type="checkbox"/> Request For Continued Examination (RCE) Transmittal <input type="checkbox"/> Power of Attorney (Revocation of Prior Powers) <input type="checkbox"/> Terminal Disclaimer	<input type="checkbox"/> Notice of Appeal to Board of Patent Appeals and Interferences <input type="checkbox"/> Appeal Brief (in triplicate) <input type="checkbox"/> Status Inquiry
<input type="checkbox"/> Petition for Extension of Time	<input type="checkbox"/> Executed Declaration and Power of Attorney for Utility or Design Patent Application	<input checked="" type="checkbox"/> Return Receipt Postcard
<input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Form PTO-1449 <input type="checkbox"/> Copies of IDS Citations	<input type="checkbox"/> Small Entity Statement <input type="checkbox"/> CD(s) for large table or computer program	<input type="checkbox"/> Certificate of Facsimile Transmission under 37 C.F.R. 1.8 <input checked="" type="checkbox"/> Additional Enclosure(s) <input checked="" type="checkbox"/> Response to Notice to File Missing Parts
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Amendment After Allowance	

CERTIFICATE OF FIRST CLASS MAILING UNDER 37 C.F.R. 1.8

I hereby certify that this correspondence, and any document(s) referred to as enclosed herein, is/are being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to Mail Stop Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this 31st day of January, 2005.

Heather J. Morgan
Heather J. Morgan

CORRESPONDENCE ADDRESS

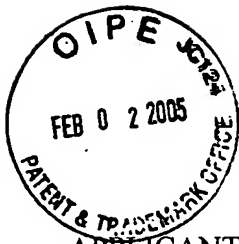
Direct all correspondence to: Patent Administrator
Proskauer Rose LLP
One International Place
14th Floor
Boston, MA 02110-2600
Tel. No.: (617) 526-9600
Fax No.: (617) 526-9899

SIGNATURE BLOCK

Date: January 31, 2005
Reg. No.: 36,471
Tel. No.: (617) 526-9800
Fax No.: (617) 526-9899

Respectfully submitted,

Joseph A. Capraro, Jr.
Joseph A. Capraro, Jr.
Attorney for the Applicant(s)
Proskauer Rose LLP
One International Place
14th Floor
Boston, MA 02110-2600



PATENT
Attorney Docket No.: NEX-007

APPLICANT(S): Klein et al.
SERIAL NO.: 10/618,091 GROUP NO.: 3652
FILING DATE: July 11, 2003 EXAMINER: Not yet assigned
TITLE: Ultra-Thin Wafer Handling System

Mail Stop Missing Parts
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

RESPONSE TO NOTICE TO FILE MISSING PARTS

Sir:

On December 3, 2004, a Notice to File Missing Parts of Nonprovisional Application was mailed. The Notice indicates that an oath or declaration is missing from the file.

Applicants respectfully submit that a Declaration and Power of Attorney document was mailed by Applicants to the Patent Office on February 11, 2004, and was received by the Patent Office on February 13, 2004. The Power of Attorney portion submitted along with the Declaration is no longer valid. A Revocation of Power of Attorney and New Power of Attorney and Change of Correspondence Address was submitted to the Patent Office on at least two occasions. Accordingly, in response to the Notice to File Missing Parts mailed December 3, 2004, Applicants submit the following.

Applicants enclose behind **Tab A** a copy of the Response to the Notice to File Missing Parts mailed February 11, 2004, which includes an executed Declaration and Power of Attorney document. The Return Receipt Postcard, which bears a received stamp from OIPE of February 13, 2004, is enclosed behind **Tab B**. An Information Disclosure Statement was also mailed on the same day. Cited references are not enclosed herewith, but additional copies can be provided upon request.


As indicated above, the Power of Attorney portion associated with the executed Declaration and Power of Attorney document is no longer valid as it was revoked. On at least two occasions, Applicants submitted to the Patent Office a Revocation of Power of Attorney and New Power of Attorney and Change of Correspondence Address. The most recent submission was on July 19, 2004, along with a Supplemental Information Disclosure Statement. The Revocation and the SIDS are enclosed behind **Tab C**. The Return Receipt Postcard, which bears a received stamp from OIPE of July 21, 2004, is enclosed behind **Tab D**. The Notice Regarding Change of Power of Attorney and the Notice of Acceptance of Power of Attorney is enclosed behind **Tab E**.

Accordingly, Applicants believe that the Notice to File Missing Parts mailed December 3, 2004 was sent in error, and the formal requirements for filing a nonprovisional patent application have been met. Applicants believe that no fees are due in response to this Notice, but in the event any additional fees are due, the Commissioner is hereby authorized to charge for this submission any such fees to Attorney's Deposit Account No. 50-3081. The Office is welcome to contact Applicants' attorney at the number below.

Respectfully submitted,

Date: January 31, 2005
Reg. No.: 36,471

Tel. No.: (617) 526-9800
Fax No.: (617) 526-9899



Joseph A. Capraro, Jr.
Attorney for the Applicant
Proskauer Rose LLP
One International Place
14th Floor
Boston, MA 02110-2600

Complete if Known

Application Serial Number	10/618,091
Filing Date	July 11, 2003
First Named Inventor	Klein
Group Art Unit	3652
Examiner Name	Not yet assigned
Attorney Docket No.	NEX-007

FEE TRANSMITTAL

FEB 02 2005

METHOD OF PAYMENT

1. ☒ Payment Enclosed:
☒ Check ☐ Money Order ☐ Other
2. ☒ The Commissioner is hereby authorized to credit or charge any fee indicated below for this submission to Deposit Account No. 20-0531.
☐ Required Fees (copy of this sheet enclosed).
☒ Additional fee required under 37 CFR 1.16 and 1.17.
☒ Overpayment Credit.
3. ☒ Applicant claims small entity status.

FEE CALCULATION

1. FILING FEE

Large Entity

Fee (\$)	Fee Description	Fee Paid
770	Utility filing fee	770.00
340	Design filing fee	
160	Provisional filing fee	

	Number Filed	Number Extra	Rate	Amount
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Total Claims	58	- 20 = 38	x \$ 18.00 =	684.00
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Independent Claims	8	- 3 = 5	x \$ 86.00 =	430.00
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☐ Multiple Dependent Claim(s), if any \$290.00 =

TOTAL: 1,884.00

SMALL ENTITY DISCOUNT: 942.00

SUBTOTAL (1) (\$) 942.00

2. AMENDMENT CLAIM FEES

Claims Remaining After Amend.	Highest No. Previously Paid For	Present Extra	Rate	Fee Paid
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Total	-	=	x \$ 18.00 =	
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Indep.	-	=	x \$ 86.00 =	
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☐ First Presentation of Multiple Dep. Claim + \$290.00 =

TOTAL: (\$)

SMALL ENTITY DISCOUNT: (\$)

SUBTOTAL (2) (\$) 0.00

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid
130	65	Surcharge - late filing fee or oath	65.00
50	25	Surcharge - late provisional filing fee or cover sheet	
130	130	Non-English specification	
2,520	2,520	Request for ex parte reexamination	
110	55	Extension for reply within first month	
420	210	Extension for reply within second month	
950	475	Extension for reply within third month	
1480	740	Extension for reply within fourth month	
2010	1005	Extension for reply within fifth month	
330	165	Notice of Appeal	
330	165	Filing a brief in support of an appeal	
290	145	Request for oral hearing	
130	130	Petitions to the Commissioner	
180	180	Submission of Information Disclosure Statement	
770	385	Filing a submission after final rejection (37 CFR 1.129(a))	
770	385	For each additional invention to be examined (37 CFR 1.129(b))	
100	100	Certificate of Correction for applicant's error	
110	55	Submission of Terminal Disclaimer	
Other fee (Specify)			
Other fee (Specify)			

SUBTOTAL (3) (\$) 65.00

SUBTOTAL (1) 942.00

SUBTOTAL (2)

SUBTOTAL (3) 65.00

TOTAL (\$) 1,007.00

CORRESPONDENCE ADDRESS

Direct all correspondence to:

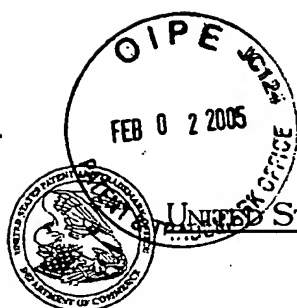
Patent Administrator
 Testa, Hurwitz & Thibault, LLP
 High Street Tower-125 High Street
 Boston, MA 02110
 Tel. No.: (617) 248-7000
 Fax No.: (617) 248-7100

SIGNATURE BLOCK

Date: February 11, 2004
 Reg. No.: 36,471
 Tel. No.: (617) 248-7369
 Fax No.: (617) 248-7100

Respectfully submitted,

Joseph A. Caparo, Jr.
 Joseph A. Caparo, Jr.
 Attorney for the Applicants
 Testa, Hurwitz & Thibault, LLP
 High Street Tower-125 High Street
 Boston, MA 02110



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Address: COMMISSIONER FOR PATENTS
 P.O. Box 1450
 Alexandria, Virginia 22313-1450
 www.uspto.gov

APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/618,091	07/11/2003	Martin P. Klein	NEX-007

021323

TESTA, HURWITZ & THIBEAULT, LLP
 HIGH STREET TOWER
 125 HIGH STREET
 BOSTON, MA 02110

CONFIRMATION NO. 3818

FORMALITIES LETTER



OC000000011610250

Date Mailed: 01/06/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted***Items Required To Avoid Abandonment:**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 385 to complete the basic filing fee for a small entity.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Additional claim fees of \$557 as a small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is **\$1007** for a Small Entity

- \$385 Statutory basic filing fee.
- \$65 Late oath or declaration Surcharge.

- Total additional claim fee(s) for this application is **\$557**

- **\$215** for **5** independent claims over 3.
- **\$342** for **38** total claims over 20.

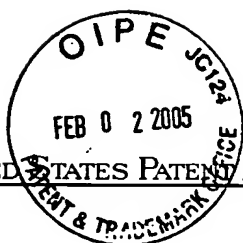
Replies should be mailed to: Mail Stop Missing Parts
 Commissioner for Patents
 P.O. Box 1450
 Alexandria VA 22313-1450

*A copy of this notice **MUST** be returned with the reply.*



Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Address: COMMISSIONER FOR PATENTS
 P.O. Box 1450
 Alexandria, Virginia 22313-1450
 www.uspto.gov

APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/618,091	07/11/2003	Martin P. Klein	NEX-007

42532
 PROSKAUER ROSE LLP
 ONE INTERNATIONAL PLACE 14TH FL
 BOSTON, MA 02110

CONFIRMATION NO. 3818

FORMALITIES LETTER



OC000000014666015

Date Mailed: 12/03/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted***Items Required To Avoid Abandonment:**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

Replies should be mailed to: Mail Stop Missing Parts
 Commissioner for Patents
 P.O. Box 1450
 Alexandria VA 22313-1450

*A copy of this notice **MUST** be returned with the reply.*

Z. MOGUS

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE